# **PCT**

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NOTIFICATION OF THE RECORDING OF A CHANGE  (PCT Rule 92bis.1 and Administrative Instructions, Section 422)  Date of mailing 01 May 1996 (day/month/year) (01.05.96)			
Applicant's or agent's file reference 4767-49/PAR	IMPO	ORTANT NOTIFIC	ATION
International application No. PCT/CA95/00287	International filing (day/month/year)	date 12 May 19 (12.05.9	
The following indications appeared on record concerning:      X the applicant	the agent		non representative
Name and Address		State of Nationality C A	State of Residence
LONDON HEALTH ASSOCIATION P.O. Box 5339 London, Ontario N6A 5A5		Telephone No.	
Canada		Facsimile No.	
		Teleprinter No.	
2. The International Bureau hereby notifies the applicant that the person X the name X the address			oncerning: he residence
		State of Nationality	State of Residence
Name and Address  VICTORIA/UNIVERSITY HOSPITAL CORPO	the na	tionality t	he residence
Name and Address  VICTORIA/UNIVERSITY HOSPITAL CORPO	the na	State of Nationality C A	State of Residence
Name and Address  VICTORIA/UNIVERSITY HOSPITAL CORPORTS 800 Commissioners Road East London, Ontario N6A 4G5	the na	State of Nationality CA Telephone No.	State of Residence
Name and Address  VICTORIA/UNIVERSITY HOSPITAL CORPORTS 800 Commissioners Road East London, Ontario N6A 4G5	the na	State of Nationality CA Telephone No. Facsimile No.	State of Residence
Name and Address  VICTORIA/UNIVERSITY HOSPITAL CORPORTS 800 Commissioners Road East London, Ontario N6A 4G5 Canada	the na	State of Nationality CA Telephone No. Facsimile No.	State of Residence
Name and Address  VICTORIA/UNIVERSITY HOSPITAL CORPORT 800 Commissioners Road East London, Ontario N6A 4G5 Canada  3. Further observations, if necessary:	ORATION  the nat	State of Nationality CA Telephone No. Facsimile No. Teleprinter No.	State of Residence
Name and Address  VICTORIA/UNIVERSITY HOSPITAL CORPORT Solution of the last London, Ontario N6A 4G5  Canada  3. Further observations, if necessary:  4. A copy of this notification has been sent to:  X the receiving Office  the International Searching Authority	ORATION  the designate  the designate	State of Nationality C A Telephone No. Facsimile No. Teleprinter No.	State of Residence
Name and Address  VICTORIA/UNIVERSITY HOSPITAL CORPORT Road East London, Ontario N6A 4G5 Canada  3. Further observations, if necessary:  4. A copy of this notification has been sent to:  X the receiving Office	ORATION  the nat	State of Nationality CA Telephone No. Facsimile No. Teleprinter No.	State of Residence
Name and Address  VICTORIA/UNIVERSITY HOSPITAL CORPORT Solution of the last London, Ontario N6A 4G5  Canada  3. Further observations, if necessary:  4. A copy of this notification has been sent to:  X the receiving Office  the International Searching Authority	ORATION  the designate  the designate	State of Nationality CA Telephone No. Facsimile No. Teleprinter No.	State of Residence

# FATENT COOPERATION TREAT

	From the international buneau	
PCT	То:	
NOTIFICATION OF ELECTION  (PCT Rule 61.2)	United States Patent and Trademark Office (Box PCT) Washington D.C. 20231 United States of America	
Date of mailing (day/month/year) 22 December 1995 (22.12.95)	in its capacity as elected Office	
International application No. PCT/CA95/00287	Applicant's or agent's file reference 4767-49/PAR	
International filing date (day/month/year) 12 May 1995 (12.05.95)	Priority date (day/month/year) 12 May 1994 (12.05.94)	
Applicant		
DUPRE, John		
1. The designated Office is hereby notified of its election made    X   in the demand filed with the International Preliminary   04 December	r Examining Authority on: 1995 (04.12.95)  national Bureau on:	
The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland	Authorized officer  Martine Lee	

Telephone No.: (41-22) 730.91.11

Facsimile No.: (41-22) 740.14.35



#### PATENT COOPERATION T



From the INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

To:

RAE, Patricia A. Sim & McBurney 330 University Avenue Suite 701 Toronto, Ontario M5G 1R7 **CANADA** 

SIM & MCBURNEY SIM, HUGHES, ASHTON & MCKAY

NOTIFICATION OF TRANSMITTAL OF INTERNATIONAL PRELIMINARY **EXAMINATION REPORT** 

(PCT Rule 71.1)

Date of mailing (day/month/year)

25. Juni 1996

Applicant's or agent's file reference

4767-49/PAR

IMPORTANT NOTIFICATION

International application No.

PCT/CA 95/00287

International filing date (day/month/year)

12/05/1995

Priority date (day/month/year)

12/05/1994

**Applicant** 

VICTORIA/UNIVERSITY HOSPITAL CORP. et al.

- The applicant is hereby notified that this International Preliminary Examining Authority transmits herewith the international 1. preliminary examination report and its annexes, if any, established on the international application.
- A copy of the report and its annexes, if any, is being transmitted to the International Bureau for communication to all the 2. elected Offices.
- Where required by any of the elected Offices, the International Bureau will prepare an English translation of the report (but 3. not of any annexes) and will transmit such translation to those Offices.

#### 4. REMINDER

The applicant must enter the national phase before each elected Office by performing certain acts (filing translations and paying national fees) within 30 months from the priority date (or later in some Offices)(Article 39(1))(see also the reminder sent by the International Bureau with Form PCT/IB/301).

Where a translation of the international application must be furnished to an elected Office, that translation must contain a translation of any annexes to the international preliminary examination report. It is the applicant's responsibility to prepare and furnish such translation directly to each elected Office concerned.

For further details on the applicable time limits and requirements of the elected Offices, see Volume II of the PCT Applicant's Guide.

Name and mailing address of the IPEA/

European Patent Office D-80298 Munich Tel. (+49-89) 2399-0, Tx: 523656 epmu d Fax: (+49-89) 2399-4465

Authorized officer

Telephone No.

J. Lausenmeyer



# PATENT COOPERATION T



# **PCT**

### INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

Applicant's or agent's file reference 4767-49/PAR	FOR FURTHER ACTION	See Notificati Preliminary I	on of Transmittal of International Examination Report (Form PCT/IPEA/416)
International application No.	International filing date (day)	nonth/year)	Priority date (day month year)
PCT/CA 95/ 00287	12/05/1995		12/05/1994
International Patent Classification (IPC) or	national classification and IPC	<del></del>	
	A61K38/26		
Applicant			
VICTORIA/UNIVERSITY HOSP	ITAL CORP. et al.		
been amended and are the ba (see Rule 70.16 and Section 6	sheets, i.e., sheets asis for this report and/or sheets for of the Administrative Instru	g this cover she  of the descripti containing rect	et. on, claims and/or drawings which have ifications made before this Authority
These annexes consists of a total of			
IV Lack of unity of invention of the control of the	opinion with regard to novelty, i tion nder Article 35(2) with regard to ons supporting such statement	nventive step ar	
Date of submission of the demand	Dat	e of completion	of this report
04/12/1995			2 5. Juni 1996
Name and mailing address of the IPEA/	Aut	horized officer	$\cap$
European Patent Office D-80298 Munich Tel. (+49-89) 2399-0, Tx: 523 Fax: (+49-89) 2399-4465	· · · · · · · · · · · · · · · · · · ·	ephone No.	G. Ludwig



# 8

Intern. application No.

# INTERNATIONAL PRELIMINARY EXAMINATION REPORT

PCT/CA95/00287

1. Basis of the report	
<ol> <li>This report has been drawn up on the basis of (Replacement sheets Office in response to an invitation under Article 14 are referred not annexed to the report since they do not contain amendments.):</li> </ol>	
[ ] the international application as originally filed.	
[x] the description, pages 1-11	, as originally filed,
pages	, filed with the demand,
pages	, filed with the letter of,
pages	, filed with the letter of,
[x] the claims, Nos. 1-5, 6(part), 13(part)-14,	as originally filed,
Nos,	as amended under Article 19,
Nos	filed with the demand,
Nos. 6(part), 7-12, 13(part)_,	filed with the letter of 10.5.96_,
Nos	filed with the letter of,
[ ] the drawings, sheets/fig	, as originally filed,
sheets/fig	, filed with the demand,
sheets/fig	, filed with the letter of,
sheets/fig	, filed with the letter of
2. The amendments have resulted in the cancellation of:	
[ ] the description, pages	· .
[ ] the claims, Nos.	
[ ] the drawings, sheets/fig	
<ol> <li>This report has been established as if (some of) the amendmen considered to go beyond the disclosure as filed (Rule 70.2(c)</li> </ol>	•
4. Additional observations, if necessary:	

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# Intern. application No. PCT/CA95/00287

### INTERNATIONAL PRELIMINARY EXAMINATION REPORT

STATEMENT		
Novelty (N)	Claims 1-9, 11-14	YES
	Claims 10	NO
Inventive Step (IS)	Claims 4, 8, 11, 13-14	YES
	Claims 1-3, 5-7, 9-10, 12	NО
Industrial Applicability (IA)	Claims 1-14 - cf. text	YES
	Claims	NO

#### 2. CITATIONS AND EXPLANATIONS

andre that is

The following documents (D) are referred to in this report:

D1: WO 91/11457

D2: The New England Journal of Medicine 326, 1316-1322

(1992)

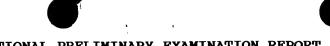
D3: The Journal of Clinical Invenstigation 93, 2263-2266

(1994)

2. According to the WHO-classification insulin-dependent diabetes mellitus (IDDM) is called type I diabetes. IDDM patients have a dependence on insulin to prevent life threatening ketosis.

This is normally not the case in patients with non-insulin requiring diabetes (NIDDM) which is called type II diabetes.





Of diagnosed diabetes about 55% appears to be NIDDM not treated with insulin and about 30% is NIDDM treated with insulin.

2.1 "Insulin-requiring diabetes" as used in the claims of the specification can be understood to refer to all diabetics which are unable to avoid hyperglycemia without the use of insulin.

This term therefore encompasses both type I (IDDM) and type II (NIDDM) diabetes.

3. Document D1 discloses the use of glucagon-like peptide 1 (7-36 or 7-37) [GLP-1 or GLIP] or amides thereof for the treatment of type II diabetes.

Document D2 discloses that GLIP (amide) may be useful in the treatment of type II diabetes (NIDDM).

Document D2 states that a better treatment for patients with NIDDM who do not respond to sulfonylurea therapy would be one that decreased their requirement for insulin and therefore decreased the occurence of hyperinsulinemia. The study of D2 demonstrates that at least in the short term, the administration of GLIP decreases postpranial insulin requirements and plasma insulin concentrations in patients with NIDDM. Therefore this peptide may have a role in the treatment of some patients with diabetes.

Although document D2 shows, inter alia, that in patients with IDDM infusion of GLIP decreased the calculated isoglycemic meal-related insulin requirement a potential use of GLIP for the treatment of IDDM (type I diabetes) patients is not indicated in this study.



Document D3 discloses that GLIP (amide) increases glucose effectiveness (relevant for type II diabetes) and that it also increases insulin secretion (relevant for type I diabetes). However, the cautious conclusions of the authors of the end of this basic biochemical/pharmacological study do not appear to suggest the use of GLIP for the treatment of diabetes type I or type II.

4. In view of the above it appears that the state of the art does not suggest a treatment of type I diabetes (IDDM) by GLIP.

Claims 4, 8, 11 and 13-14 are therefore considered as novel and inventive.

5. It appears that the skilled man, starting from document D1 and having regard to documents D2-D3, wanting to provide a further (improved) treatment of NIDDM (type II diabetes) would want to use GLIP, alone or in combination with insulin for the treatment of this disease.

Claims 1, 5-6 and 9-10 are therefore not inventive. Accordingly, this also holds for the dependent claims 2-3, 7 and 12.

6. For the assessment of the presently worded claims 1-5 and 13 on the question whether it is industrially applicable, no unified criteria exist in the PCT. In the Contracting States of the PCT the patentability of such a claim can also depend on its formulation.

Accordingly, the applicant is informed that under the EPC these claims would not be allowable (Art. 52(4) & 52(1) EPC).

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regimen which additionally comprises treatment with insulin.

- 7. Use of a peptide comprising a peptide selected from the group consisting of
  - (a) glucagon-like peptide 1(7-37);
  - (b) glucagon-like peptide 1(7-36)amide; and
- (c) an effective fragment or analogue of (a) or (b) for the preparation of a medicament which also includes insulin for treatment of insulin-requiring diabetes.
- 8. Use of a peptide in accordance with claim 6 or 7 wherein the insulin-requiring diabetes is Type I diabetes.
- 9. Use of a peptide in accordance with claim 6 or 7 wherein the insulin-requiring diabetes is Type II diabetes.
- 10. A pharmaceutical composition for the treatment of insulin-requiring diabetes comprising an effective amount of a peptide comprising a peptide selected from the group consisting of
  - (a) glucagon-like peptide 1(7-37);
  - (b) glucagon-like peptide 1(7-36)amide; and
- (c) an effective fragment or analogue of (a) or (b) and a pharmaceutically acceptable carrier.
- 11. A pharmaceutical composition in accordance with claim 10 for the treatment of Type I diabetes.
- 12. The pharmaceutical composition of claim 10 or 11 further comprising an effective amount of insulin.
- 13. A method of treating Type I diabetes in a mammal comprising administering to the mammal an effective amount of a peptide comprising a peptide selected from the group consisting of
  - (a) glucagon-like peptide 1(7-37);

## FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	GB	United Kingdom	MR	Mauritania
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FR	France	MN	Mongolia	VN	Viet Nam
GA	Gabon		•		

regimen which additionally comprises treatment with insulin.

- 7. Use of a peptide comprising a peptide selected from the group consisting of
  - (a) glucagon-like peptide 1(7-3/7);
  - (b) glucagon-like peptide  $1(7-\beta 6)$  amide; and
- (c) an effective fragment or analogue of (a) or (b) for the preparation of a medicament which also includes insulin for treatment of insulin-requiring diabetes.
- 8. Use of a peptide in accordance with claim 6 wherein the insulin-requiring diabetes is Type I diabetes.
- 9. Use of a peptide in accordance with claim 7 wherein the insulin-requiring diabetes is Type I diabetes.
- 10. A pharmaceutical composition for the treatment of insulin-requiring diabetes comprising an effective amount of a peptide comprising a peptide selected from the group consisting of
  - (a) glucagon-like peptide 1(7-37);
  - (b) glucagon-like peptide 1(7-36) amide; and
- (c) an effective fragment or analogue of (a) or (b) and a pharmaceutically acceptable carrier.
- 11. A pharmaceutical composition in accordance with claim 10 for the treatment of Type I diabetes.
- 12. The pharmaceutical composition of claim 10 or 11 further comprising an effective amount of insulin.
- 13. A method of treating Type I diabetes in a mammal comprising administering to the mammal an effective amount of a peptide comprising a peptide selected from the group consisting of
  - (a) glucagon-like peptide 1(7-37);

Replaced by article 34



# REQUEST

The undersigned requests that the present

For receiving Office use only
,
International Application No.
International Filing Date
Name of receiving Office and "PCT International Application"

international application be processed according to the Patent Cooperation Treaty.	Name of receiving Office and "PCT International Application"				
	Applicant's or agent's file reference (if desired) (12 characters maximum) 4767-49/PAR				
Box No. I TITLE OF INVENTION					
TREATMENT OF DIABETES					
Box No. II APPLICANT					
Name and address: (Family name followed by given name; for designation. The address must include postal control of the control					
LONDON HEALTH ASSOCIATION (Owne Operator of University Hospita					
P.O. Box 5339 London, Ontario N6A 5A5 Canada	Facsimile No. (519) 663-3232				
	Teleprinter No.				
State (i.e. country) of nationality: CA	State (i.e. country) of residence: CA				
This person is applicant for the purposes of:  all designated states all designated the United States	ed States except the United States the States indicated in trates of America only the Supplemental Box				
Box No. III FURTHER APPLICANT(S) AND/OR (FURT	HER) INVENTOR(S)				
Name and address: (Family name followed by given name; for designation. The address must include postal  DUPRE, John 72 Sherwood Avenue London, Ontario N6A 2E2 Canada	a legal entity, full official code and name of country.)  This person is:  applicant only  applicant and inventor  inventor only (If this check-box is marked, do not fill in below.)				
State (i.e. country) of nationality: CA	State (i.e. country) of residence: CA				
This person is applicant all designated all designated for the purposes of:	ed States except				
Further applicants and/or (further) inventors are indicated	Further applicants and/or (further) inventors are indicated on a continuation sheet.				
BOX NO. IV AGENT OR COMMON REPRESENTATIVE; OR ADDRESS FOR CORRESPONDENCE					
The person identified below is hereby/has been appointed to act of the applicant(s) before the competent International Authorities	es as:				
Name and address: (Family name followed by given name; for designation. The address must include postal RAE, Patricia A.	a legal entity, full official code and name of country.)  Telephone No.  (416) 595-1155				
Sim & McBurney 701 - 330 University Avenue Toronto, Ontario	Facsimile No. (416) 595-1163				
M5G 1R7 Canada	Teleprinter No.				
Mark this check-box where no agent or common representation indicate a special address to which correspondence should	ative is/has been appointed and the space above is used instead to be sent.				



Bax Na.V	D. NATION OF STATES			
The following designations are hereby made under Rule 4.9(a) (mark the applicable check-boxes; at least one must be marked):				
Regional I	Patent			
₩ AP	ARIPO Patent: KE Kenya, MW Malawi, SD Sudi of the Harare Protocol and of the PCT	an, SZ Swaziland and any other State which is a Contracting State		
_	European Patent: AT Austria, BE Belgium, CH and LI Switzerland and Liechtenstein, DE Germany, DK Denmark, ES Spain, FR France, GB United Kingdom, GR Greece, IE Ireland, IT Italy, LU Luxembourg, MC Monaco, NL Netherlands, PT Portugal, SE Sweden, and any other State which is a Contracting State of the European Patent Convention and of the PCT			
_	A OAPI Patent: BF Burkina Faso, BJ Benin, CF Central African Republic, CG Congo, CI Côte d'Ivoire, CM Cameroon, GA Gabon, GN Guinea, ML Mali, MR Mauritania, NE Niger, SN Senegal, TD Chad, TG Togo, and any other State which is a member State of OAPI and a Contracting State of the PCT (if other kind of protection or treatment desired, specify on dotted line)			
National I	atent (if other kind of protection or treatment desired, speci	fy on dotted line):		
X A	Armenia	MD Republic of Moldova		
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	Kenya			
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	Democratic People's Republic of Korea	▼ VN Viet Nam		
_		Charles have a second of the designation States (fee the supposes of		
X	Republic of Korea	Check-boxes reserved for designating States (for the purposes of a national patent) which have become party to the PCT after		
N K	Kazakhstan	issuance of this sheet:		
3 L	C Sri Lanka	IS Iceland		
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l i i	Lithuania	SG Singapore		
⊠ u	J Luxembourg	X IM Turkmenistan		
X L	/ Latvia			

In addition to the designations made above, the applicant also makes under Rule 4.9(b) all designations which would be permitted under the PCT except the designation(s) of

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Sheet No. .....

Box No. VI PRIORITY C	AIM · Furth	er priority claims are indicated in the Su	applemental Box
The priority of the following e	arlier application(s) is hereby claim	ed:	
Country (in which, or for which, the application was filed)	Filing Date (day/month/year)	Application No.	Office of filing (only for regional or international application)
item (1) GB	12.05.94 (12 May 1994)	9409496.8	••
item (2)			
item (3)			
The receiving Office is h Bureau a certified copy of	erified copy of the earlier application is to ee may be required): ereby requested to prepare and trans of the earlier application(s) identified	d above as item(s):	s of the present international
	hing Authority (ISA) (If two or more		
are competent to carry out the interne	ttional search, indicate the Authority chos	en; the two-letter code may be used): ISA /_	
out or requested and the Authority is	now requested to base the international s	other) by the International Searching Authori earch, to the extent possible, on the results of translation thereof) or by reference to the sea Number:	that earlier search. Identify
Box No. VIII CHECK LIST			
Box No. IX SIGNATURE C	sheets drawings (if any) should accompan OF APPLICANT OR AGENT of the person signing and the capacity in which	general fattorney  general fattorney  for explaining ignature  document(s) d in Box No. VI  The calculation are explained in deposited in sequence in the sequ	ndications concerning microorganisms  and/or amino acid sting (diskette)  ify):
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Date of actual receipt of the international application:			2. Drawings:
Corrected date of actual received papers or dr the purported international a	awings completing		received:
Date of timely receipt of the corrections under PCT Artic			not received:
5. International Searching Auti specified by the applicant:	nority ISA / 6. [	Transmittal of search copy delaye until search fee is paid	d
Date of receipt of the record co by the International Bureau:	Py For International E	dureau use only	



# PATENT COOPERATION T

JUL 11 1995

SIM & MOBURNEY SIM, HUGHES, ASHTON & MCKAY

PCT

#### NOTIFICATION CONCERNING SUBMISSION OF PRIORITY DOCUMENTS

(PCT Administrative Instructions, Section 411)

From the INTERNATIONAL BUREAU

To:

RAE, Patricia, A. Sim & McBurney 701 - 330 University Avenue Toronto, Ontatio M5G 1R7 **CANADA** 

Date of mailing:

05 July 1995 (05.07.95)

Applicant's or agent's file reference:

4767-49/PAR

IMPORTANT NOTIFICATION

International application No.:

PCT/CA95/00287

International filing date:

Priority date:

12 May 1995 (12.05.95)

12 May 1994 (12.05.94)

Applicant:

LONDON HEALTH ASSOCIATION et al

The applicant is hereby notified of the date of receipt by the International Bureau of the priority document(s) relating to the following application(s):

Priority application No:

Priority date:

Priority country:

Date of receipt of priority document:

9409496.8

12 May 1994 (12.05.94)

GB

04 Jul 1995 (04.07.95)

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland

Facsimile No.: (41-22) 740.14.35

Authorised officer:

M. Lee

Telephone No.: (41-22) 730.91.11

Form, PCT/17/304 (July 1002)

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SIM & MCBURNEY SIM, HUGHES, ASHTON & McKAY

# PATENT COOPERATION 1

From the INTERNATIONAL BUREAU

To:

RAE, Patricia, A. Sim & McBurney 701 - 330 University Avenue Toronto, Ontatio M5G 1R7 **CANADA** 

### NOTIFICATION OF RECEIPT OF **RECORD COPY**

(PCT Rule 24.2(a))

Date of mailing (day/month/year) 31 May 1995 (31.05.95)	IMPORTANT NOTIFICATION
Applicant's or agent's file reference 4767-49/PAR	International application No. PCT/CA95/00287

The applicant is hereby notified that the International Bureau has received the record copy of the international application as detailed below.

Name(s) of the applicant(s) and State(s) for which they are applicants:

LONDON HEALTH ASSOCIATION (for all designated States except US) DUPRE, John (for US)

International filing date

12 May 1995 (12.05.95)

Priority date(s) claimed

12 May 1994 (12.05.94)

Date of receipt of the record copy

by the International Bureau

30 May 1995-(30.05.95)

Designated Offices which will be notified of the receipt of the record copy:

AP:KE,MW,SD,SZ,UG

EP:AT,BE,CH,DE,DK,ES,FR,GB,GR,IE,IT,LU,MC,NL,PT,SE

OA:BF,BJ,CF,CG,CI,CM,GA,GN,ML,MR,NE,SN,TD,TG

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**ATTENTION** 

The applicant should carefully check the data appearing in this Notification. In case of any discrepancy between these data and the indications in the international application, the applicant should immediately inform the International Bureau.

In addition, the applicant's attention is drawn to the information contained in the Annex, relating to:

X	time limits for entry into the national phase;
	confirmation of precautionary designations;

requirements regarding priority documents.

A copy of this Notification is being sent to the receiving Office and to the International Searching Authority.

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland

Authorized officer:

M. Lee

Facsimile No. (41-22) 740.14.35

Telephone No. (41-22) 730.91.11



#### INFORMATION ON TIME LIMITS FOR ENTERING THE NATIONAL PHASE

The applicant is reminded that the "national phase" must be entered before each of the designated Offices indicated in the Notification of Receipt of Record Copy (Form PCT/IB/301) by paying national fees and furnishing translations, as prescribed by the applicable national laws.

The time limit for performing these procedural acts is 20 MONTHS from the priority date or, for those designated States which the applicant elects in a demand for international preliminary examination or in a later election, 30 MONTHS from the priority date, provided that the election is made before the expiry of 19 months from the priority date. A further extension of time or grace period, in some cases upon payment of an additional fee, is available in some designated (or elected) Offices.

In addition to these procedural acts, the applicant may also have to comply with other special requirements applicable in certain Offices. It is the applicant's responsibility to ensure that the necessary steps to enter the national phase are taken in a timely fashion. Most designated Offices do not issue reminders to applicants in connection with the entry into the national phase.

For detailed information about the procedural acts to be performed to enter the national phase before each designated Office, the applicable time limits and possible extensions of time or grace periods, and any other requirements, see the relevant Chapters of Volume II of the PCT Applicant's Guide. Information about the requirements for filing a demand for international preliminary examination is set out in Chapter IX of Volume I of the PCT Applicant's Guide.

Note that since CH/LI, ES and GR are not bound by PCT Chapter II (which provides for the international preliminary examination procedure), those States cannot be elected in a demand for international preliminary examination. In the case of designations of CH/LI or ES for a national patent, the applicant must thus always enter the national phase before the national Offices of those States before the expiry of 20 months from the priority date. In the case of designations of CH/LI, ES or GR for a European patent, however, the 31-month time limit applies in respect of those designations if at least one other State designated for a European patent is also elected within the 19-month period.

Note also that only an applicant who is a national or resident of a PCT Contracting State which is bound by Chapter II has the right to file a demand for international preliminary examination.

#### **CONFIRMATION OF PRECAUTIONARY DESIGNATIONS**

This notification lists only specific designations made under Rule 4.9(a) in the request. It is important to check that these designations are correct. Errors in designations can be corrected where precautionary designations have been made under Rule 4.9(b). The applicant is hereby reminded that any precautionary designations may be confirmed according to Rule 4.9(c) before the expiration of 15 months from the priority date. If it is not confirmed, it will automatically be regarded as withdrawn by the applicant. There will be no reminder and no invitation. Confirmation of a designation consists of the filing of a notice specifying the designated State concerned (with an indication of the kind of protection or treatment desired) and the payment of the designation and confirmation fees. Confirmation must reach the receiving Office within the 15-month time limit.

#### REQUIREMENTS REGARDING PRIORITY DOCUMENTS

For applicants who have not yet complied with the requirements regarding priority documents the following is recalled.

Where the priority of an earlier national (i.e., national or regional) application is claimed, the applicant must submit a copy of the said national application, certified by the authority with which it was filed ("the priority document") to the receiving Office (which will transmit it to the International Bureau) or directly to the International Bureau, before the expiration of 16 months from the priority date (Rule 17.1).

Where the priority document is issued by the receiving Office, the applicant may, instead of submitting the priority document, request the receiving Office to prepare and transmit the priority document to the International Bureau. Such a request must be made before the expiration of the 16-month time limit.

It is recalled that, where several priorities are claimed, the priority date to be considered for the purposes of computing the 16-month time limit is the filing date of the earliest application whose priority is claimed.

If the priority document concerned is not submitted to the International Bureau before the expiration of the 16-month time limit, or if the request to the receiving Office to transmit the priority document has not been made (and the corresponding fee, if any, paid) before the expiration of this time limit, any designated State may disregard the priority claim.

SIM & MCBURNEY

From the INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

ON & McK

INTERNATIONAL PRELIV	IINAKI EAA	MINING AUTHORIT	. <b>.</b>	PCI	SIM, HUGHES, ASHTO
To:  RAE, Patricia A. Sim & McBurney 330 University Avenue Suite 701 Toronto, Ontario M5G 1R7 CANADA			WRITTEN OPINI( (PCT Rule 66)		
			Date of mailing (day month year)	12. Feb	. 1996
Applicant's or agent's file ref	erence			within 3 mor	nths/depe- f mailing
International application No.		International filing date	(day/month/year)	Priority date (day/n	nonth/year)
PCT/ CA 95/ 002	87	12/05/1995		12/05/1994	
International Patent Classific	ation (IPC) o	r both national classificat	ion and IPC		
		A61K38/26			
Applicant					
LONDON HEALTH	ASSOCIAT	CION et al.			······
1. This written opinion is the					
If no reply is filed, the in	nternational p	reliminary examination re	eport will be established	on the basis of this o	ppinion.

 The final date by which the international preliminary examination report must be established according to Rule 69.2 is: 12/09/1996 Name and mailing address of the IPEA/ Authorized officer G. Ludwig Examiner

ng district spanned and the sp

European Patent Office D-80298 Munich Tel. (+49-89) 2399-0, Tx: 523656 epmu d Fax: (+49-89) 2399-4465

Formalities officer (incl. extension of time limits)
Telephone No.

Form FCT/PELA CI (cover sheet) (Junuary 1900)





Intern. application No.
PCT/CA95/00287

I. Basis of the opinion	
-	(Substitute sheets which have been furnished to the receiving Office are referred to in this opinion as "originally filed".):
[ imes] the international application as origin	ally filed.
[ ] the description, pages	, as originally filed,
pages	, filed with the demand,
pages	, filed with the letter of,
[ ] the claims, Nos.	, as originally filed,
Nos	, as amended under Article 19,
Nos	, filed with the demand,
	, filed with the letter of,
[ ] the drawings, sheets/fig	, as originally filed,
sheets/fig	, filed with the demand,
sheets/fig	, filed with the letter of,
2. The amendments have resulted in the cancellati	
[ ] the description, pages	
• •	·
[ ] the drawings, sheets/fig	•
<ol> <li>This opinion has been established as if ( considered to go beyond the disclosure as</li> </ol>	some of) the amendments had not been made, since they have been s filed (Rule 70.2(c)):
4. Additional observations, if necessary:	

talest Habita



Intern. application No.
PCT/CA95/00287

		<del></del>		
	III. Non-establ	ishment of opinion with regard to novelty, inventive step and industrial applicability		
	The questions whether the claimed invention appears to be novel, to involve an inventive step (to be non-obvious), to be industrially applicable have not been and will not be examined in respect of:			
	[ ] <b>th</b> e e	ntire international application,		
	[x] claim	s Nos. 1-12		
	because:			
	[ ] the s	aid international application, or the said claims Nos relat		
i		me following subject matter which does not require an international preliminary examination (specify):		
	[x] the de	escription, claims or drawings (indicate particular elements below) or said claims		
-		1-12 are so unclear that no meaningful opinion could be formed rify):		
	1.	According to the WHO-classification insulin-dependent diabetes mellitus (IDDM) is called type I diabetes whereas non-insulin requiring diabetes (NIDDM) is called type II diabetes.		
	80.	Claim 4 relates to type I diabetes whereas claim 1 upon which claim 4 depends refers to insulin-requiring diabetes.		
		The distinction between type I diabetes and insulin-requiring diabetes in these claims appears to contradict the WHO-definition.		
		This objection also holds, mutatis mutandis, for claims 10-11.		
	2.	Claim 5 refers to type II diabetes as an insulin-requiring diabetes.		



3.



Intern. application No.
PCT/CA95/00287

This definition appears to contradict the WHO definitions as indicated above according to which type II diabetes is a non-insulin dependent diabetes.

The corresponding passage of the description related to claim 5 appears to be on page 7, lines 25-27.

With respect to the above objections an explanation of

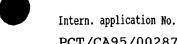
	the applicant is needed.	
[	] the claims, or said claims Nos the description that no meaningful opinion could be formed.	are so inadequately supported b
[	] no international search report has been established for said claims  Nos	



Intern. application No.
PCT/CA95/00287

	statement under Rule 66.2(a)(ii) was and explanations supporting such	with regard to novelty, inventive step and industrial applicability; statement
1. STATEMENT		
Novelty		claims
Inventiv		laims
Industri.		laims
2. CITATIONS	AND EXPLANATIONS	
1.	-	nments (D) are referred to in this com-
	(1992)	nd Journal of Medicine 326, 1316-1322  Clinical Invenstigation 93, 2263-2266
2.		oses the use of glucagon-like peptide 1 LP-1 or GLIP] or amides thereof for the II diabetes.
	the treatment of the states that the meal-related insulations.	oses that GLIP (amide) may be useful in type II diabetes (NIDDM).  at GLIP (amide) infusion decreases the lin requirement in type I diabetes  However, the treatment of type I diabe-

数。





PCT/CA95/00287

tes by GLIP does not appear to be suggested by D2.

Document D3 discloses that GLIP (amide) increases glucose effectiveness (relevant for type II diabetes) and that it also increases insulin secretion (relevant for type I diabetes). However, the cautious conclusions of the authors of the end of this basic biochemical/pharmacological study do not appear to suggest the use of GLIP for the treatment of diabetes type I or type II.

In view of the above it appears that the state of the 3. art does not suggest a treatment of type I diabetes (IDDM) by GLIP.

Claims 13-14 are therefore considered as novel and inventive.

For the assessment of the presently worded claims 1-5 and 13 on the question whether it is industrially applicable, no unified criteria exist in the PCT. In the Contracting States of the PCT the patentability of such a claim can also depend on its formulation. Accordingly, the applicant is informed that under the EPC these claims would not be allowable (Art. 52(4) & 52(1) EPC).





Intern. application No. PCT/CA95/00287

VIII. Certain observations on the international application

The following observations on the clarity of the claims, description, and drawings or on the question whether the claims are fully supported by the description, are made:

1. There appears to be no essential technical difference between claim 7 and claim 6. The former claim should therefore be deleted for the sake of conciseness.

This objection also holds, mutatis mutandis, for claims 8-9.

CHAPTER II

#### **DEMAND**

under Article 31 of the Patent Cooperation Treaty:

The undersigned requests that the internati nal application specified below be the subject f international preliminary examination according to the Patent Cooperation Treaty.

For	International Preliminary	Examining Authorit	y use only
Identification of IPEA		Date of receipt of DEMAND	
Box No. I IDENTIFICATION OF T	HE INTERNATIONAL		Applicant's or agent's file reference 4767-49/PAR:ba
International application No.	International filing date	(day/month/year)	(Earliest) Priority date (day/month/year)
PCT/CA95/00287	12 May 1995 (1	.2.05.95)	12 May 1994 (12.05.94)
Title of invention TREATMENT OF DIABETES			
BOX No. II APPLICANT(S)			
Name and address: (Family name followed by The address must include p	given name: for a legal entity, fu	dl official designation.	Telephone No.:
		.,	(519) 663–3300
LONDON HEALTH ASSOCT	LATION		Facsimile No.:
London, Ontario			(519) 663-3232
N6A 5A5 Canada			Teleprinter No.:
State (i.e. country) of nationality:		State (i.e. country) of CA	f residence:
Name and address: (Family name followed by	given name; for a legal entity, j	full official designation. The	e address must include postal code and name of country.)
DUPRE, John 72 Sherwood Avenue London, Ontario N6A 2E2 Canada	·		
State (i.e. country) of nationality:	CA	State (i.e. country) o	f residence: CA
Name and address: (Family name followed by	given name; for a legal entity,		e address must include postal code and name of country.)
State (i.e. country) of nationality:		State (i.e. country)	of residence:
Further applicants are indicated of	on a continuation sheet.		

Form PCT/IPEA/401 (first sheet) (January 1994)

See Notes to the demand form

-

Sheet No. ...

International application No. PCT/CA95/002'87

Box No. III AGENT OR COMMON REPRESENTATIVE; OR ADDRESS FOR CORRESPONDENCE			
The following person is  agent  common representative and  has been appointed earlier and represents the applicant(s) also for international preliminary examination.			
is hereby appointed and any earlier appointment of (an) agent(s)/common r	epresentative is hereby revoked.		
is hereby appointed, specifically to: the procedure before the International addition to the agent(s)/common representative appointed earlier.	Preliminary Examining Authority, in		
Name and address: (Family name followed by given name; for a legal entity, full official designation.  The address must include postal code and name of country.)	Telephone No.:		
Rae, Patricia A.	(416) 595-1155		
SIM & MCBUPNEY	Facsimile No.:		
701 - 330 University Avenue Toronto, Ontario M5G 1R7	(416) 595-1163		
Canadá··	Teleprinter No.:		
	a wampands 0 1 V · ·		
	<u></u>		
Mark this check-box where no agent or common representative is/has been instead to indicate a special address to which correspondence should be ser	appointed and the space above is used it.		
Box No. IV STATEMENT CONCERNING AMENDMENTS			
The applicant wishes the International Preliminary Examining Authority*	<del></del>		
(i) X to start the international preliminary examination on the basis of the inter	national application as originally filed.		
(ii) to take into account the amendments under Article 34 of			
the description (amendments attached).			
the claims (amendments attached).			
the drawings (amendments attached).			
(iii) to take into account any amendments of the claims under Article 19 filed with the International Bureau (a copy is attached).			
(iv) to disregard any amendments of the claims made under Article 19 and to co	to disregard any amendments of the claims made under Article 19 and to consider them as reversed.		
to postpone the start of the international preliminary examination until the expiration of 20 months from the priority date unless that Authority receives a copy of any amendments made under Article 19 or a notice from the applicant that he does not wish to make such amendments (Rule 69.1(d)). (This check-box may be marked only where the time limit under Article 19 has not yet expired.)			
Where no check-box is marked, international preliminary examination will start on the basis of the international application as originally filed or, where a copy of amendments to the claims under Article 19 and/or amendments of the international application under Article 34 are received by the International Preliminary Examining Authority before it has begun to draw up a written opinion or the international preliminary examination report, as so amended.			
Box No. V ELECTION OF STATES	·		
The applicant hereby elects all eligible States (that is, all States which have be Chapter II of the PCT) except	-		
(If the applicant does not wish to elect certain eligible States, the name(s) or indicated above.)			



ahr. K.

Sheet No. . 3.

	nternational application	No
1	PCT/CA95/00287	

Box No. VI CHECK LIST				
The demand is accompanied by the following purposes of international preliminary examinations of the control of			onal Preliminary authority use only not received	
amendments under Article 34		iccirca	100100000	
description	: sheets			
claims	: sheets			
drawings	: sheets			
2. letter accompanying amendments		_		
under Article 34	: sheets		L	
3. copy of amendments under Article 19	: sheets			
4. copy of statement under Article 19	: sheets	1 H	Ħ	
7. Copy of Supplied Elect 1 2000 19	. 3110013			
5. other (specify):	: sheets			
The demand is also accompanied by the item(s) marked below:  1. separate signed power of attorney 4. fee calculation sheet 2. copy of general power of attorney 5. other (specify): 3. statement explaining lack of signature				
Next to each signature, indicate the name of the person signing and the capacity in which the person signs (if such capacity is not obvious from reading the demand).  Rae, Patricia A.  SIM & McBURNEY				
Date of actual receipt of DEMAND:	ianonai Preniminary Exar	nining Authority use only =		
2. Adjusted date of receipt of demand due to CORRECTIONS under Rule 60.1(b):				
The date of receipt of the demand is AFTER the expiration of 19 months from the priority date and item 4 or 5, below, does not apply.  The applicant has been informed accordingly.				
4. The date of receipt of the demand is WITHIN the period of 19 months from the priority date as extended by virtue of Rule 80.5.				
5. Although the date of receipt of the demand is after the expiration of 19 months from the priority date, the delay in arrival is EXCUSED pursuant to Rule 82.				
	- For International But	eau use nly		
Demand received from IPEA on:				

Form PCT/IPEA/401 (last sheet) (January 1994)

See Notes to the demand form





CHAPTER II

# **PCT**

### FEE CALCULATION SHEET

## Annex to the Demand f r internati nal preliminary examination

	For International Preliminary Examining Authority use only
International application No. PCT/CA95/00287	
Applicant's or agent's file reference 4767-49/PAR:ba	Date stamp of the IPEA
Applicant London Health Association	·
Calculation of prescribed fees	
Preliminary examination fee	DM 3,000 P
2. Handling fee	DM 270 H
Total of prescribed fees     Add the amounts entered at P and H     and enter total in the TOTAL box	DM 3,270 TOTAL
Mode of Payment  authorization to charge deposit account with the IPEA (see below)	ısh
	venue stamps
postal money order	pupons
X bank draft of	ther (specify):
Deposit Account Authorization (this mode of payment may	y not be available at all IPEAs)
	arge the total fees indicated above to my deposit account.
(this check-box may be marke authorized to charge any demy deposit account.	d only if the conditions for deposit accounts of the IPEA so permit) is hereby efficiency or credit any overpayment in the total fees indicated above to
Deposit Account Number Date (day/month/yea	ar) Signature
	and the state of t

Form PCT/IPEA/401 (Annex) (January 1994)

and the experience program.

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See Notes to the fee calculation sheet

AUG 11 1995

#### From the INTERNATIONAL SEARCHING AUTHORITY

SIM & MCBURNEY FIM, HUGHES, ASHTON & MCKAY

Sim & McBurney Attn. RAE, Patricia A. 330 University Avenue Suite 701 Toronto, Ontario M5G 1R7 CANADA	NOTIFICATION OF TRANSMITTAL OF THE INTERNATIONAL SEARCH REPORT OR THE DECLARATION  (PCT Rule 44.1)			
	Date of mailing (day/month/year) 07/08/95			
Applicant's or agent's file reference 4767-49/PAR	FOR FURTHER ACTION See paragraphs 1 and 4 below			
International application No. PCT/CA95/00287	International filing date (day month year) 12/05/95			
Applicant  LONDON HEALTH ASSOCIATION et al.				
The applicant is hereby notified that the international search report has been established and is transmitted herewith.  Filing of amendments and statement under Article 19: The applicant is entitled, if he so wishes, to amend the claims of the international application (see Rule 46):  When? The time limit for filing such amendments is normally 2 months from the date of transmittal of the international search report; however, for more details, see the notes on the accompanying sheet.  Where? To the International Bureau of WIPO 34, chemin des Colombettes				
1211 Geneva 20, Switzerland Fascimile No.: (41-22) 740.14.35  For more detailed instructions, see the notes on the accomp-	anying sheet.			
2. The applicant is hereby notified that no international search Article 17(2)(a) to that effect is transmitted herewith.	report will be established and that the declaration under			
	n transmitted to the International Bureau together with the rotest and the decision thereon to the designated Offices.			
4.Further action(s): The applicant is reminded of the following:				
Shortly after 18 months from the priority date, the international artif the applicant wishes to avoid or postpone publication, a notion priority claim, must reach the International Bureau as provided completion of the technical preparations for international public	e of withdrawal of the international application, or of the in Rules 90bis.1 and 90bis.3, respectively, before the			
Within 19 months from the priority date, a demand for internation wishes to postpone the entry into the national phase until 30 months.				
Within 20 months from the priority date, the applicant must perfor before all designated Offices which have not been elected within because they are not bound by Chapter II.	rm the prescribed acts for entry into the national phase 19 months from the priority date or could not be elected			
Name and mailing address of the International Searching Authority  European Patent Office, P.B. 5818 Patentlaan 2	Authorized officer			
NL-2280 HV Rijswijk	Zorka Bota			

Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016

Form PCT/ICA/200 (10)y 1862)

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#### **NOTES TO FORM PCT/ISA/220**

These notes are intended to give the basic instructions concerning the filing of amendments under article 19. The Notes are based on the requirements of the Patent Cooperation Treaty and of the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and those requirements, the latter are applicable. For more detailed information, see also the PCT Applicant's Guide, a publication of WIPO.

In these notes, "Article", "Rule", and "Section" refer to the provisions of the PCT, the PCT Regulations and the PCT administrative Instructions respectively.

#### **INSTRUCTIONS CONCERNING AMENDMENTS UNDER ARTICLE 19**

The applicant has, after having received the international search report, one opportunity to amend the claims of the international application. It should however be emphasized that, since all parts of the international application (claims, description and drawings) may be amended during the international preliminary examination procedure, there is usually no need to file amendments of the claims under Article 19 except where, e.g. the applicant wants the latter to be published for the purposes of provisional protection or has another reason for amending the claims before international pbulication. Furthermore, it should be emphasized that provisional protection is available in some States only.

#### What parts of the international application may be amended?

The claims only.

The description and the drawings may only be amended during international preliminary examination under Chapter II.

When?

Within 2 months from the date of transmittal of the international search report or 16 months from the priority date, whichever time limit expires later. It should be noted, however, that the amendments wil be considered as having been received on time if they are received by the International Bureau after the expiration of the applicable time limit but before the completion of the technical preparations for international publication (Rule 46.1).

#### Where not to file the amendments?

The amendments may only be filed with the International Bureau and not with the receiving Office or the International Searching Authority (Rule 46.2).

Where a demand for international preliminary examination has been/is filed, see below.

How?

Either by cancelling one or more entire claims, by adding one or more new claims or by amending the text of one or more of the claims as filed.

A replacement sheet must be submitted for each sheet of the claims which, on account of an amendment or amendments, differs from the sheet originally filed.

All the claims appearing on a replacement sheet must be numbered in Arabic numerals. Where a claim is cancelled, no renumbering of the other claims is required. In all cases where claims are renumbered, they must be renumbered consecutively (Administrative Instructions, Section 205(b)).

#### What documents must/may accompany the amendments?

#### Letter (Section 205(b)):

The amendments must be submitted with a letter.

The letter will not be published with the international application and the amended claims. It should not be confounded with the "Statement under Article 19(1)" (see below, under "Statement under Article 19(1)").

The letter must indicate the differences between the claims as filed and the claims as amended. It must, in particular, indicate, in connection with each claim appearing in the international application (it being understood that identical indications concerning several claims may be grouped), whether

- (i) the claim is unchanged;
- (ii) the claim is cancelled;
- (iii) the claim is new;
- (iv) the claim replaces one or more claims as filed;
- (v) the claim is the result of the division of a claim as filed.

#### NOTES TO FORM PCT/ISA/220 (continued)

The following examples illustrate the manner in which amendments must be explained in the accompanying letter:

- [Where originally there were 48 claims and after amendment of some claims there are 51]:
   "Claims 1 to 29, 31, 32, 34, 35, 37 to 48 replaced by amended claims bearing the same numbers;
   Claims 30, 33 and 36 unchanged; new claims 49 to 51 added."
- [Where originally there were 15 claims and after amendment of all claims there are 11]: "Claims 1 to 15 replaced by amended claims 1 to 11."
- [Where originally there were 14 claims and the amendments consist in cancelling some claims and in adding new claims]:
   "Claims 1 to 6 and 14 unchanged; claims 7 to 13 cancelled; new claims 15, 16 and 17 added." or "Claims 7 to 13 cancelled; new claims 15, 16 and 17 added; all other claims unchanged."
- 4. [Where various kinds of amendments are made]: "Claims 1-10 unchanged; claims 11 TO 13, 18 and 19 cancelled; claims 14, 15 and 16 replaced by amended claim 14; claim 17 subdivided into amended claims 15, 16 and 17; new claims 20 and 21 added."

#### "Statement under article 19(1)" (Rule 46.4)

The amendments may be accompanied by a statement explaining the amendments and indicating any impact that such amendments might have on the description and the drawings which cannot be amended under Article 19(1).

The statement will be published with the international application and the amended claims.

The statement should be brief, it should not exceed 500 words if in English or if translated into English.

It should not be confouded with and does not replace the letter indicating the differences between the claims as filed and as amended. It must be filed on a separate sheet and must be identified as such by a heading, preferably by using the words "Statement under Article 19(1)."

It should not contain any disparaging comments on the international search report or the relevance of citations contained in that report. Reference to citations, relevant to a given claim, contained in the international search report may be made only in connection with an amendment of that claim.

#### In what language?

d Hom

The amendments must be made in the language in which the international application is published. The letter and any statement accompanying the amendments must be in the same language as the international application if that language is English of French; otherwise, it must be in English or French, at the choice of the applicant.

#### Consequence if a demand for international preliminary examination has already been filed?

If, at the time of filing any amendments under Article 19, a demand for international preliminary examination has already been submitted, the applicant must preferably, at the same time of filing the amendments with the International Bureau, also file a copy of such amendments with the International Preliminary Examining Authority (see Rule 62.2(a), first sentence).

#### Consequence with regard to translation of the international application for entry into the national phase?

The applicant's attention is drawn to the fact that, where upon entry into the national phase, a translation of the claims as amended under Article 19 may have to be furnished to the designated/elected Offices, instead of, or in addition to, the translation of the claims as filed.

For further details on the requirements of each designated/elected Office, see Volume II of the PCT Applicant's Guide.



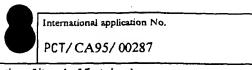
#### INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference		of Transmittal of International Search Report	
4767-49/PAR	ACTION (Form PC1/ISA)	(Form PCT/ISA/220) as well as, where applicable, item 5 below.	
International application No.	International filing date(day/month/year)	(Earliest) Priority Date (day/month/year)	
PCT/CA95/00287	12/05/95	12/05/94	
Applicant			
	_		
LONDON HEALTH ASSOCIATION	et al.		
This international search report has been according to Article 18. A copy is being to	prepared by this International Searching Auth ransmitted to the International Bureau.	ority and is transmitted to the applicant	
This international search report consists o	of a total of 4 sheets.  y of each prior art document cited in this report	rt	
1. X Certain claims were found unsear	rchable (see Box 1).		
2. Unity of invention is lacking (see	Box II).		
	ntains disclosure of a nucleotide and/or amino sout on the basis of the sequence listing	acid sequence listing and the	
filed	with the international application.		
furn	ished by the applicant separately from the inter	rnational application,	
L	but not accompanied by a statement to the matter going beyond the disclosure in the	e effect that it did not include international application as filed.	
Tran	scribed by this Authority		
4. With regard to the title, X the t	ext is approved as submitted by the applicant.		
the t	ext has been established by this Authority to r	ead as follows:	
5. With regard to the abstract,			
	ext is approved as submitted by the applicant.		
Box	ext has been established, according to Rule 38. III. The applicant may, within one month fror h report, submit comments to this Authority.		
6. The figure of the drawings to be publis	thed with the abstract is:		
Figure No as su	ggested by the applicant.	None of the figures.	
7	ise the applicant failed to suggest a figure.		
becau	ise this figure better characterizes the invention	n.	



gjeler.



Box I	Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)
This inte	ernational search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:
1. X 2	Claims Nos.:  1-5,13 because they relate to subject matter not required to be searched by this Authority, namely:  Remark: Although claims 1-5,13 are directed to a method of treatment of the human/animal body, the search has been carried out and based on the alleged effects of the compound/composition.  Claims Nos.: because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
3.	Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).
BOX II	Observations where unity of invention is lacking (Continuation of item 2 of first sheet)
This Inte	ernational Searching Authority found multiple inventions in this international application, as follows:
1.	As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2.	As all searchable claims could be searches without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
	As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
	No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:
Remark o	The additional search fees were accompanied by the applicant's protest.  No protest accompanied the payment of additional search fees.

A. CLASSIFICATION OF SUBJECT MATTER
IPC 6 A61K38/26 //(A61K38/26,38:28)

According to International Patent Classification (IPC) or to both national classification and IPC

#### **B. FIELDS SEARCHED**

 $\begin{array}{lll} \mbox{Minimum documentation searched} & \mbox{(classification system followed by classification symbols)} \\ \mbox{IPC 6} & \mbox{A61K} & \mbox{C07K} \end{array}$ 

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

C. DOCUMENTS	CONSIDERED T	O BE RELEVANT
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Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO-A-91 11457 (BUCKLEY D. ET AL.) 8 August 1991 see the whole document	1,5,6
X	THE JOURNAL OF CLINICAL INVESTIGATION, vol. 93, no. 5, May 1994 pages 2263-2266, D'ALESIO D.A. ET AL. 'Glucagon-like Peptide 1 Enhances Glucose Tolerance Both by Stimulation of Insulin Release and by Increasing Insulin-independent Glucose Disposal' see the whole document	1-14

Further documents are listed in the continuation of box C.	Patent family members are listed in annex.
* Special categories of cited documents:  *A* document defining the general state of the art which is not considered to be of particular relevance	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"E" earlier document but published on or after the international filing date  "L" document which may throw doubts on priority claim(s) or	"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
which is cited to establish the publication date of another citation or other special reason (as specified)	"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the
O document referring to an oral disclosure, use, exhibition or other means	document is combined with one or more other such docu- ments, such combination being obvious to a person skilled in the art.
"P" document published prior to the international filing date but later than the priority date claimed	"&" document member of the same patent family
Date of the actual completion of the international search	Date of mailing of the international search report
24 July 1995	0 7. 08. 95
Name and mailing address of the ISA	Authorized officer
European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax (+31-70) 340-3016	Moreau, J

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		PC1/CA 95/00287
	ation) DOCUMENTS CONSIDERED TO BE RELEVANT	Relevant to claim No.
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Referant to claim 110
(	THE NEW ENGLAND JOURNAL OF MEDICINE, vol. 326, no. 20, 14 May 1992 BOSTON (US), pages 1316-1322, GUTNIAK M. ET AL. 'Antidiabetogenic effect of glucagon-like peptide-1 (7-36)amide in normal subjects and patients with diabetes mellitus' cited in the application see the whole document	1-14
Ą	WO-A-93 25579 (PFIZER INC.) 23 December 1993 see the whole document 	1-14

1

# NTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No PCT/CA 95/00287

Patent document cited in search report	Publication date	Patent memb		Publication date
WO-A-9111457	08-08-91	EP-A-	0512042	11-11-92
WO-A-9325579	23-12-93	AU-B- CA-A- CN-A- EP-A- HU-A- JP-T- NO-A-	4027593 2138161 1085913 0646128 64367 7504679 944853	04-01-94 23-12-93 27-04-94 05-04-95 28-12-93 25-05-95 14-12-94

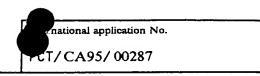


#### INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference	FOR FURTHER ACTION	see Notification of (Form PCT/ISA/2	f Transmittal of International Search Report 220) as weil as, where applicable, item 5 below.
International application No.	International filing date(	lay/month/year)	(Earliest) Priority Date (day/month/year)
PCT/ CA95/ 00287	12/05/95		12/05/94
Applicant			
LONDON HEALTH ASSOCIATION	et al.		
This international search report has been according to Article 18. A copy is being t	prepared by this Internatio ransmitted to the Internatio	nal Searching Autho onal Bureau.	ority and is transmitted to the applicant
This international search report consists of X It is also accompanied by a cop	of a total of 4 y of each prior art documen	sheets. nt cited in this repor	t.
1. X Certain claims were found unsea	rchable (see Box I).		
2. Unity of invention is lacking (see	Box II).		
3. The international application co international search was carried	ntains disclosure of a nucleo out on the basis of the seq	otide and/or amino a uence listing	cid sequence listing and the
	with the international app		
furn	nished by the applicant sepa		
_	matter going beyond t	he disclosure in the	e effect that it did not include international application as filed.
Tra	nscribed by this Authority		
4. With regard to the title, X the	text is approved as submitt	ed by the applicant	
<u></u>	text has been established by	this Authority to r	ead as follows:
5. With regard to the abstract,			
ا لم	text is approved as submitt	· · ·	.2(b), by this Authority as it appears in
Box	text has been established, as III. The applicant may, with report, submit comment	thin one month froi	n the date of mailing of this international
6. The figure of the drawings to be publ	ished with the abstract is:		
	uggested by the applicant.		None of the figures.
<u></u>	ause the applicant failed to		
beca	ause this figure better chara	cterizes the inventio	n.





Box 1	Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)
This int	ernational search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:
1. <b>X</b>	Claims Nos.: 1-5,13 because they relate to subject matter not required to be searched by this Authority, namely:  Remark: Although claims 1-5,13 are directed to a method of treatment of the human/animal body, the search has been carried out and based on the alleged effects of the compound/composition.
2.	Claims Nos.: because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
3.	Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).
Box II	Observations where unity of invention is lacking (Continuation of item 2 of first sheet)
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1.	As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2.	As all searchable claims could be searches without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3.	As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
4.	No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:
Remark	on Protest  The additional search fees were accompanied by the applicant's protest.  No protest accompanied the payment of additional search fees.



A. CLASSIFICATION OF SUBJECT MATTER IPC 6 A61K38/26 //(A61K38/26,38:28)

According to International Patent Classification (IPC) or to both national classification and IPC

#### **B. FIELDS SEARCHED**

1 ,

Minimum documentation searched (classification system followed by classification symbols) IPC 6 A61K C07K

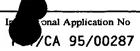
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Х	WO-A-91 11457 (BUCKLEY D. ET AL.) 8 August 1991 see the whole document	1,5,6
X	THE JOURNAL OF CLINICAL INVESTIGATION, vol. 93, no. 5, May 1994 pages 2263-2266, D'ALESIO D.A. ET AL. 'Glucagon-like Peptide 1 Enhances Glucose Tolerance Both by Stimulation of Insulin Release and by Increasing Insulin-independent Glucose Disposal' see the whole document	1-14

X Further documents are listed in the continuation of box C.	Patent family members are listed in annex.
<ul> <li>Special categories of cited documents:</li> <li>A document defining the general state of the art which is not considered to be of particular relevance</li> </ul>	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"E" earlier document but published on or after the international filing date  "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)  "O" document referring to an oral disclosure, use, exhibition or other means  "P" document published prior to the international filing date but later than the priority date claimed	<ul> <li>"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone</li> <li>"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.</li> <li>"&amp;" document member of the same patent family</li> </ul>
Date of the actual completion of the international search  24 July 1995	Date of mailing of the international search report  0 7. 08. 95
Name and mailing address of the ISA  European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016	Authorized officer  Moreau, J

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	7CA 95/0028/	
(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT	Datament of the No.	
ategory * Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.	
THE NEW ENGLAND JOURNAL OF MEDICINE, vol. 326, no. 20, 14 May 1992 BOSTON (US), pages 1316-1322, GUTNIAK M. ET AL. 'Antidiabetogenic effect of glucagon-like peptide-1 (7-36)amide in normal subjects and patients with diabetes mellitus' cited in the application see the whole document	1-14	
WO-A-93 25579 (PFIZER INC.) 23 December 1993 see the whole document	1-14	
	·	

Form PCT/ISA/210 (continuation of second sheet) (July 1992)

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## INTER TIONAL SEARCH REPORT

ion on patent family members

Ir onal	Application No
CA CA	95/00287

	**************************************			
Patent document cited in search report	Publication date		family ber(s)	Publication date
WO-A-9111457	08-08-91	EP-A-	0512042	11-11-92
WO-A-9325579	23-12-93	AU-B- CA-A- CN-A- EP-A- HU-A- JP-T- NO-A-	4027593 2138161 1085913 0646128 64367 7504679 944853	04-01-94 23-12-93 27-04-94 05-04-95 28-12-93 25-05-95 14-12-94

**PCT** 

REC'D	2 7 JUN 1996
WIPO	PCT

## INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

Applicant's or agent's file reference	FOR FURTHER ACTION		on of Transmittal of International Examination Report (Form PCT/IPEA/416)
4767-49/PAR	1		
International application No.	International filing date (day)	nonth/year)	Priority date (day/month/year)
PCT/CA 95/ 00287	12/05/1995		12/05/1994
International Patent Classification (IPC) of	national classification and IPC		
	A61K38/26		·
Applicant			-
VICTORIA/UNIVERSITY HOSP	ITAL CORP. et al.		
<ol> <li>This international preliminary exa Authority and is transmitted to th</li> <li>This REPORT consists of a total</li> </ol>	e applicant according to Article 3	this cover shee	<b>t.</b>
been amended and are the ba	asis for this report and/or sheets 607 of the Administrative Instruc	containing rectif	on, claims and/or drawings which have fications made before this Authority PCT).
3. This report contains indications ar		o the following i	items:
I X Basis of the report		-	
II Priority	•		
			d to decreased a months of these
	opinion with regard to novelty, in	iventive step and	i industrial applicability
IV Lack of unity of invent			
V Reasoned statement un citations and explanation	der Article 35(2) with regard to a ons supporting such statement	novelty, inventiv	e step or industrial applicability;
VI Certain documents cite	d		•
VII Certain defects in the i	nternational application		
VIII Certain observations o	n the international application		
	•4		
<u> </u>			
Date of submission of the demand	Date	of completion o	f this report
04/12/1995			25. Juni 1996
Name and mailing address of the IPEA/	Autho	orized officer	0
European Patent Office D-80298 Munich Tel. (+49-89) 2399-0, Tx: 5236 Fax: (+49-89) 2399-4465	556 epmu d	hone No.	G. Ludwig
Form PCT/IPEA/409 (cover sheet) (Januar)			



### INTERNATIONAL PRELIMINARY EXAMINATI

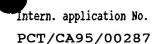
## INTERNATIONAL PRELIMINARY EXAMINATION REPORT

8	
intern.	application No.
PCT/	CA95/00287

<ol> <li>Reasoned statement under Article 35 citations and explanations supporti</li> </ol>	-	I. Basis of the report	
Novelty (N)	Claims 1-9,	This report has been drawn up on the basis of (Replaceme Office in response to an invitation under Article 14 are not annexed to the report since they do not contain amen  [ ] the international application as originally filed.	referred to in this report as "originally filed" and are dments.):
Inventive Step (IS)  Industrial Applicability (IA)	Claims 4, { Claims 1-3, Claims 1-14 Claims	[x] the description, pages 1-11	, as originally filed,, filed with the demand,, filed with the letter of,, filed with the letter of,
. CITATIONS AND EXPLANATIONS  1. The following port:	documents (	Nos	, as amended under Article 19,
D1: WO 91/114 D2: The New E (1992) D3: The Journa	ngland Journ	sheets/fig	, as originally filed,, filed with the demand,, filed with the letter of,, filed with the letter of
(1994)  2. According to to diabetes mell:	tus (IDDM)	2. The amendments have resulted in the cancellation of:  [ ] the description, pages	e amendments had not been made, since they have been
life threatend This is normal non-insulin re type II diabet	ly not the equiring dia	4. Additional observations, if necessary:	

Form PCT/IPEA/409 (sheet 2) (January 1994)

Form PCT/IPEA/409 (sheet 1) (January 1994)







Of diagnosed diabetes about 55% appears to be NIDDM not treated with insulin and about 30% is NIDDM treated with insulin.

2.1 "Insulin-requiring diabetes" as used in the claims of the specification can be understood to refer to all diabetics which are unable to avoid hyperglycemia without the use of insulin.

This term therefore encompasses both type I (IDDM) and type II (NIDDM) diabetes.

3. Document D1 discloses the use of glucagon-like peptide 1 (7-36 or 7-37) [GLP-1 or GLIP] or amides thereof for the treatment of type II diabetes.

Document D2 discloses that GLIP (amide) may be useful in the treatment of type II diabetes (NIDDM).

Document D2 states that a better treatment for patients with NIDDM who do not respond to sulfonylurea therapy would be one that decreased their requirement for insulin and therefore decreased the occurence of hyperinsulinemia. The study of D2 demonstrates that at least in the short term, the administration of GLIP decreases postpranial insulin requirements and plasma insulin concentrations in patients with NIDDM. Therefore this peptide may have a role in the treatment of some patients with diabetes.

Although document D2 shows, inter alia, that in patients with IDDM infusion of GLIP decreased the calculated isoglycemic meal-related insulin requirement a potential use of GLIP for the treatment of IDDM (type I diabetes) patients is not indicated in this study.

Document D3 discloses that GLIP (amide) increases glucose effectiveness (relevant for type II diabetes) and that it also increases insulin secretion (relevant for type I diabetes). However, the cautious conclusions of the authors of the end of this basic biochemical/pharmacological study do not appear to suggest the use of GLIP for the treatment of diabetes type I or type II.

4. In view of the above it appears that the state of the art does not suggest a treatment of type I diabetes (IDDM) by GLIP.

Claims 4, 8, 11 and 13-14 are therefore considered as novel and inventive.

5. It appears that the skilled man, starting from document D1 and having regard to documents D2-D3, wanting to provide a further (improved) treatment of NIDDM (type II diabetes) would want to use GLIP, alone or in combination with insulin for the treatment of this disease.

Claims 1, 5-6 and 9-10 are therefore not inventive. Accordingly, this also holds for the dependent claims 2-3, 7 and 12.

6. For the assessment of the presently worded claims 1-5 and 13 on the question whether it is industrially applicable, no unified criteria exist in the PCT. In the Contracting States of the PCT the patentability of such a claim can also depend on its formulation.

Accordingly, the applicant is informed that under the EPC these claims would not be allowable (Art. 52(4) & 52(1) EPC).





#### INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 6: A61K 38/26 // (A61K 38/26, 38:28)

A1

(11) International Publication Number:

WO 95/31214

(43) International Publication Date: 23 November 1995 (23.11.95)

(21) International Application Number:

PCT/CA95/00287

(22) International Filing Date:

12 May 1995 (12.05.95)

(30) Priority Data:

9409496.8

12 May 1994 (12.05.94)

GB

(71) Applicant (for all designated States except US): LONDON HEALTH ASSOCIATION [CA/CA]; P.O. Box 5339, London, Ontario N6A 5A5 (CA).

(72) Inventor; and

(75) Inventor/Applicant (for US only): DUPRE, John [CA/CA]; 72 Sherwood Avenue, London, Ontario N6A 2E2 (CA).

(74) Agent: RAE, Patricia, A.; Sim & McBurney, 701-330 University Avenue, Toronto, Ontario M5G 1R7 (CA).

(81) Designated States: AM, AT, AU, BB, BG, BR, BY, CA, CH, CN, CZ, DE, DK, EE, ES, FI, GB, GE, HU, IS, JP, KE, KG, KP, KR, KZ, LK, LR, LT, LU, LV, MD, MG, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, TJ, TM, TT, UA, UG, US, UZ, VN, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG), ARIPO patent (KE, MW, SD, SZ, UG).

#### **Published**

With international search report.

(54) Title: TREATMENT OF DIABETES

(57) Abstract

A method is provided for treating insulin-requiring diabetes by a regimen including administration of insulin and glucagon-like insulinotropic peptide or a related peptide.

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### TREATMENT OF DIABETES

#### Field of the Invention

The present invention relates to methods and compositions for treatment of diabetes.

#### Background of the Invention

The recent findings of the Diabetes Control and Complications Trial (DCCT) carried out by the U.S. National Institute of Health have emphasised the importance of doing everything possible to normalise blood glucose levels in diabetics to avoid or delay micro-vascular damage. Intensified insulin therapy has been shown by the trial to improve glycaemic control but is accompanied by the risk of hypoglycaemia. This limits the degree of glycaemic control which can be safely attempted, so that true normalisation of blood glucose levels cannot be achieved with insulin therapy alone.

Glucagon-like peptide 1(7-36)amide or glucagon-like insulinotropic peptide (GLIP) is a gastrointestinal peptide which potentiates insulin release in response to glycaemia in normal humans.

Glucagon-like insulinotropic peptide has been suggested for use either alone or in conjunction with oral hypoglycaemic agents in Type II or non-insulin dependent diabetes (Gutniak et al., (1992), N.E.J.M. vol. 326, p. 1316; International Patent Application No. W093/18786). These authors have noted a synergistic effect between the peptide and oral hypoglycaemic agents in Type II diabetics.

The present inventor has found, unexpectedly, that administration of glucagon-like insulinotropic peptide permits improved glycaemic control in subjects with insulin-requiring diabetes.

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#### Summary of Invention

In accordance with one embodiment of the present invention, a method is provided for treating insulin-requiring diabetes in a mammal comprising administering to the mammal in a suitable regimen an effective amount of insulin and an effective amount of a peptide comprising a peptide selected from the group consisting of

- (a) glucagon-like peptide 1(7-37);
- (b) glucagon-like peptide 1(7-36)amide; and
- (c) an effective fragment or analogue of (a) or(b).

In accordance with a further embodiment of the invention, a peptide comprising a peptide selected from the group consisting of

- (a) glucagon-like peptide 1(7-37);
- (b) glucagon-like peptide 1(7-36)amide; and
- (c) an effective fragment or analogue of (a) or (b) is used for the preparation of a medicament for use in the treatment of insulin-requiring diabetes in a suitable regimen which additionally comprises treatment with insulin.

In accordance with a further embodiment of the invention, a peptide comprising a peptide selected from the group consisting of

- (a) glucagon-like peptide 1(7-37);
- (b) glucagon-like peptide 1(7-36)amide; and
- (c) an effective fragment or analogue of (a) or (b) is used for the preparation of a medicament which also includes insulin for treatment of insulin-requiring diabetes.

In accordance with a further embodiment of the invention, a pharmaceutical composition is provided for the treatment of insulin-requiring diabetes comprising an effective amount of a peptide comprising a peptide sel cted from the group consisting of

(a) glucagon-like peptide 1(7-37);

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- (b) glucagon-like peptide 1(7-36)amide; and
- (c) an effective fragment or analogue of (a) or (b) and a pharmaceutically acceptable carrier.

In accordance with a further embodiment of the invention, a method is provided for treating Type I diabetes in a mammal comprising administering to the mammal an effective amount of a peptide comprising a peptide selected from the group consisting of

- (a) glucagon-like peptide 1(7-37);
- (b) glucagon-like peptide 1(7-36)amide; and
- (c) an effective fragment or analogue of (a) or (b).

In accordance with a further embodiment of the invention, a peptide comprising a peptide selected from the group consisting of

- (a) glucagon-like peptide 1(7-37);
- (b) glucagon-like peptide 1(7-36)amide; and
- (c) an effective fragment or analogue of (a) or (b) is used for the preparation of a medicament for use in the treatment of Type I diabetes.

#### Summary of Drawings

Figure 1A shows blood levels of glucose, Figure 1B shows C-peptide, Figure 1D shows human pancreatic polypeptide (HPP), Figure 1D shows glucagon and Figure 1E shows gastrin in Type I diabetic subjects after Sustacal meal alone (O) or Sustacal meal with GLIP infusion (•).

Figure 2A shows blood levels of glucose and Figure 2B C-peptide in Type I diabetic subjects during glucose infusion alone ( $^{\circ}$ ) or along with IV GLIP( $^{\bullet}$ ).

Figure 3A shows blood levels of glucose (expressed as the change ( $\Delta$ ) from baseline values at time zero) and Figure 3B shows C-peptide (expressed as the change ( $\Delta$ ) from baseline values at time zero) in Type I diabetic subjects after Sustacal meal and saline infusion ( $\circ$ ) or Sustacal meal with infusion of 0.75 pm GLIP/kg/min ( $\Delta$ ).

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Figure 4A shows blood levels of glucose, Figure 4B shows C-peptide, Figure 4C shows insulin and Figure 4D shows human pancreatic polypeptide (HPP) in normal subjects after Sustacal meal alone (O) or Sustacal meal immediately preceded by a subcutaneous injection of 100  $\mu g$  GLIP ( $\blacksquare$ ).

Figure 5A shows blood levels of glucose, Figure 5B shows C-peptide, Figure 5C shows insulin and Figure 5D shows human pancreatic polypeptide (HPP) in Type I diabetic subjects after Sustacal meal alone (O) or Sustacal meal immediately preceded by a subcutaneous injection of 100  $\mu$ g GLIP ( $\blacksquare$ ).

Figure 6A shows blood levels of glucose, Figure 6B shows C-peptide, Figure 6C shows insulin, Figure 6D shows human pancreatic polypeptide (HPP), Figure 6E shows GLIP (GLIP-1) and Figure 6F gastrin in a Type I diabetic subject who received 5 Units regular human insulin and 50  $\mu g$  GLIP subcutaneously prior to a Sustacal meal.

#### Detailed Description of the Invention

The glucagon-like peptide 1 fragments, glucagon-like peptide 1(7-36)amide and glucagon-like peptide 1(7-37), show essentially similar insulinotropic and other biochemical effects in humans and other mammals.

Glucagon-like peptide 1(7-36) amide is referred to herein as GLIP.

The present invention provides a method of treating Type I diabetes by administration of an effective amount of GLIP, or other glucagon-like peptide 1-related peptide, either alone or in conjunction with a regimen of insulin administration.

Although the discussion herein refers to use of "GLIP", it will be understood by those skilled in the art that the therapeutic methods of the invention may be practised by employing GLIP, glucagon-like peptide 1(7-37), an effective peptide including GLIP or glucagon-like peptide 1(7-37), or an effective fragment or analogue of GLIP or glucagon-like peptide 1(7-37).

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As is seen in Figure 2, IV administration of GLIP along with intravenous glucose stimulated secretion of endogenous insulin in the subjects studied and gave improved control of blood glucose level. These subjects were in the remission phase, or so-called "honeymoon phase", of IDDM characterised by substantial remaining endogenous insulin secretion.

The same dose of GLIP (1.2 pm/kg/min) gave excellent control of blood glucose level in these subjects after a meal, as seen in Figure 1, Panel A. Under these conditions, GLIP infusion also prevented a significant increase in blood levels of C-peptide.

After the Sustacal meal, the test subjects showed normal secretion of pancreatic polypeptide (PP) but this response was absent during GLIP infusion (Figure 1, Panel C). It is believed that this abrogation of PP response was due to the delayed passage of the meal from the stomach to the small intestine as a result of GLIP administration. That it was not due to a general suppression of gastrointestinal peptide secretion is indicated by the normal gastrin response to the presence of food in the stomach in these subjects (Figure 1, Panel E).

Administration of GLIP prevented the mean rise in plasma glucagon levels stimulated by the meal in the absence of GLIP. Gastrin levels were not significantly affected.

Administration of a lower dose of GLIP (0.75 pmol/kg/min) along with a meal resulted in some increase in blood glucose and C-peptide, as seen in Figure 3. Although the increase in glucose was less than in the control experiment, the rise in C-peptide was similar to the control experiment.

GLIP is known to cause delay of gastric emptying in humans and other mammals (Wettergren et al., (1993), Digestive Diseases and Sciences, v. 38, p. 665). As seen in Figure 4, when GLIP is given subcutaneously to normal

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subjects prior to ingestion of a meal, there is a delay of 30 to 60 minutes in the rise in blood glucose level. This delay is likely due to inhibition of gastric emptying.

When Type I diabetics were given GLIP subcutaneously prior to ingestion of a test meal, a lowering of blood glucose levels was seen compared to the control figures when no GLIP was administered (Figure 5, Panel A). The delayed rise in pancreatic polypeptide (HPP) levels (Panel D) indicate delayed gastric emptying. As seen from Panels B and C, there was no enhancement of insulin secretion over control levels to account for the lower glucose levels.

It may be that the improved glycaemic control seen with GLIP administration in Type I diabetics is due to delay of the post-meal rise in blood glucose through the interval required for the establishment of the effect of insulin.

The efficacy of GLIP administration along with insulin in restraining the expected rise in blood glucose after a standard meal in Type I diabetes is seen in Example 6 and Figure 6. 50  $\mu$ g GLIP was administered along with half the insulin dose that would usually be required to deal with the test meal. As seen in Figure 6, Panel A, blood glucose did not rise above 8 mM. With this size of meal and half the usual insulin dose, considerably higher blood glucose levels would have been expected, in the absence of the effect of GLIP. For example, with this meal and no insulin, blood glucose levels reached 15 mM, as seen in Figure 5, Panel A.

As seen from Figure 6, Panel E, GLIP was cleared from the blood in about two hours so that pre-meal GLIP administration would not be expected to interfere with management of subsequent meals.

When GLIP is used to improve glycaemic control in Type I diabetics having residual endogenous insulin secretion capacity, both the insulinotropic effect of the

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hormone and its effect to delay gastric emptying will contribute to its effect. Some remission phase Type I subjects may be sufficiently controlled by administration of GLIP alone, without exogenous insulin.

In the majority of patients with Type I diabetes, however, treatment with a regimen including both GLIP and insulin is likely to be required. These studies indicate that the observed effects of GLIP on glycaemia are not dependent on stimulation of insulin release and are therefore not limited to diabetics retaining residual insulin secreting capacity.

The use of GLIP in treating Type I diabetes, in accordance with the present invention, provides improved glycaemic control and reduces post-prandial excursions of blood glucose. This accords with the current emphasis on normalising blood glucose levels as much as possible, to reduce diabetic complications.

Furthermore, a regimen combining administration of insulin and administration of GLIP, in accordance with the present invention, is applicable to patients with insulin requiring diabetes which would not strictly be classified as Type I.

An insulin-requiring diabetic is a diabetic who is unable to avoid hyperglycaemia without the use of insulin. The invention further provides a method for treating patients with diabetes which is etiologically Type II but requires insulin treatment.

Diabetics frequently find the requirements for food intake and insulin administration at midday particularly irksome and an interference with work and other activities. By administering GLIP to diabetic subjects at breakfast time, along with administration of longer acting insulin if necessary, diabetics may be able to omit lunch or greatly reduce the size of that meal, and thereby avoid the need for midday insulin.

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The delayed adsorption of nutrients when both GLIP and insulin are administered before breakfast will also reduce the risk of hypoglycaemia if lunch is delayed.

The studies described herein also indicate that a therapeutic regimen including both GLIP and insulin will in many cases permit the use of reduced doses of insulin. This is also beneficial in the avoidance of hypoglycaemia.

GLIP or its related peptides which may be employed in the treatment methods described herein may be administered orally, nasally or parenterally. Parenteral administration may be by a variety of routes including subcutaneous or intravenous infusion, and subcutaneous or intravenous injection.

The regimen of GLIP or GLIP and insulin administration required to give the desired glycaemic control in a diabetic patient can be readily determined by those skilled in the management of diabetic patients.

As will be understood by those skilled in the art, any suitable insulin preparation may be used in conjunction with GLIP administration in the combined regimen described herein.

Suitable insulins include regular or fast-acting insulin to maintain blood glucose control through the post-prandial interval, with or without addition of longer-acting insulin to maintain blood glucose control through the post-absorptive interval.

The dosages of GLIP required may be optimised for each subject by evaluation of the degree of glycaemic control achieved by trial doses.

Another convenient method of monitoring the level of effect of GLIP on a subject is to monitor the blood level of pancreatic polypeptide in response to trial doses of GLIP.

Such dosage and regimen adjustments are now commonplace, for example for diabetics treated with mixtures of fast and slow acting insulins. These mixed

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preparations are available in various ratios of fast to slow and an appropriate ratio must be selected for a particular patient by trial doses. One patient may even employ insulin preparations of different ratios to handle varying sizes of meals. By similar testing, a suitable GLIP and insulin regimen may be selected.

GLIP and insulin may be administered separately or may be prepared and administered as a single formulation.

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Example 1

#### **EXAMPLES**

7 subjects with remission phase Type I diabetes were studied after ingestion of a standardised meal of Sustacal (Upjohn) (delivering 30 kg/kg). Subjects continued their normal insulin treatment programme on the day prior to the test and, on the day of the test, omitted their morning insulin injection and arrived fasting at 8:00 am. On one test day they were given the Sustacal meal, followed immediately by initiation of

intravenous infusion of GLIP (synthetic human GLIP-(7-36) amide from Peninsula, U.K.) at an infusion rate of 1.2 pm/kg/min. Infusion was continued for 120 minutes.

Blood levels of glucose, C-peptide, gastrin, glucagon and HPP were monitored by standard radioimmunoassay methods in samples taken before and at intervals during the

study, up to 180 minutes. On another test day, subjects were given the Sustacal meal alone and the same analytes were similarly monitored.

Results are shown in Figure 1.

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#### Example 2

Four subjects with remission phase Type I diabetes were studied during intravenous glucose infusion.

Subjects prepared for the tests as described in Example 1, but received an intravenous infusion of glucose (20 g

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over 60 min. constant rate)instead of the Sustacal meal. On one test day, they also received intravenous GLIP for 60 minutes (1.2 pm/kg/min for 60 min.) and on another test day, they received IV glucose alone. Blood levels of glucose and C-peptide were monitored as in Example 1.

The results are shown in Figure 2.

#### Example 3

Four subjects with remission phase Type I diabetes
were studied during infusion with 0.75 pm/kg/min GLIP for
infusion with 0.75 pm/kg/min GLIP for

The test was conducted as described in Example 1 and blood glucose and C-peptide levels were measured. On a further test day, the subjects were studied during saline infusion after a similar Sustacal meal.

Results are shown in Figure 3.

#### Example 4

7 normal volunteers were studied after ingestion of 20 a Sustacal meal either alone or immediately preceded by a subcutaneous injection of 100  $\mu$ g GLIP.

Results are shown in Figure 4. \*indicates statistically significant differences between treatments (p<0.05).

25 A delay in increase in blood levels of glucose, HPP, C-peptide and insulin was seen. When the experiment was repeated with 50  $\mu$ g or 200  $\mu$ g dose of GLIP, proportionally shorter and longer delays, respectively, were seen.

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#### Example 5

7 Type I diabetic subjects were studied. Subjects omitted their morning insulin injection on the days of the tests and were given a Sustacal meal alone one day and, on another day, a Sustacal meal immediately preceded by a subcutaneous injection of 100  $\mu g$  GLIP.





The results are shown in Figure 5. \*indicates statistically significant differences between treatments (p<0.05).

#### 5 Example 6

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One Type 1 diabetic subject was given GLIP along with insulin and the effects on post-prandial glycaemia observed. The subject received 5 units of insulin and 50  $\mu$ g GLIP as subcutaneous injections immediately prior to ingestion of a Sustacal meal as described in Example 1. The results are shown in Figure 6. Blood levels of GLIP were monitored by a standard radioimmunoassay method.

Although only preferred embodiments of the present invention have been described, the present invention is not limited to the features of these embodiments, but includes all variations and modifications within the scope of the claims.



#### I CLAIM:

- 1. A method of treating insulin-requiring diabetes in a mammal comprising administering to the mammal in a suitable regimen an effective amount of insulin and an effective amount of a peptide comprising a peptide selected from the group consisting of
  - (a) glucagon-like peptide 1(7-37);
  - (b) glucagon-like peptide 1(7-36)amide; and
  - (c) an effective fragment or analogue of (a) or (b).
- 2. The method of claim 1 wherein the mammal is a human.
- 3. The method of claim 2 wherein an effective amount of insulin and an effective amount of a peptide comprising a peptide selected from the group consisting of
  - (a) glucagon-like peptide 1(7-37);
  - (b) glucagon-like peptide 1(7-36)amide; and
- (c) an effective fragment or analogue of (a) or (b) are administered to the human at a selected time prior to ingestion of a meal.
- 4. The method of any of claims 1 to 3 wherein the insulin-requiring diabetes is Type I diabetes.
- 5. The method of any of claims 1 to 3 wherein the insulin-requiring diabetes is Type II diabetes.
- 6. Use of a peptide comprising a peptide selected from the group consisting of
  - (a) glucagon-like peptide 1(7-37);
  - (b) glucagon-like peptide 1(7-36)amide; and
- (c) an effective fragment or analogue of (a) or (b) for the preparation of a medicament for use in the treatment of insulin-requiring diabetes in a suitable





regimen which additionally comprises treatment with insulin.

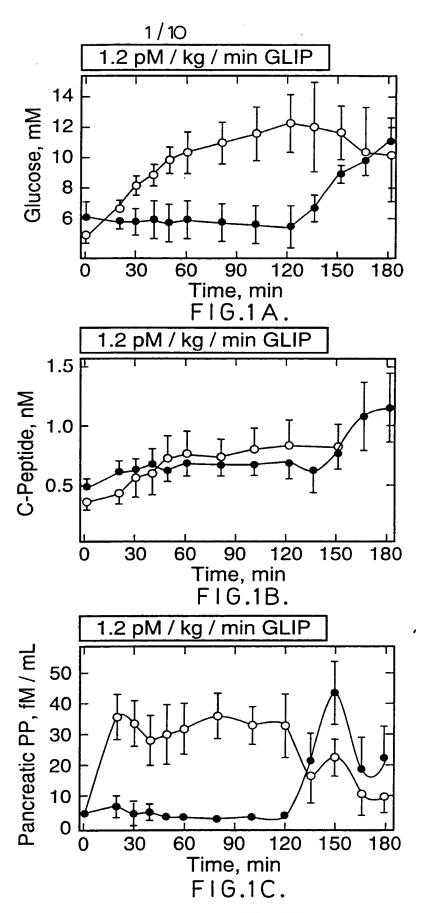
- 7. Use of a peptide comprising a peptide selected from the group consisting of
  - (a) glucagon-like peptide 1(7-37);
  - (b) glucagon-like peptide 1(7-36)amide; and
- (c) an effective fragment or analogue of (a) or (b) for the preparation of a medicament which also includes insulin for treatment of insulin-requiring diabetes.
- 8. Use of a peptide in accordance with claim 6 wherein the insulin-requiring diabetes is Type I diabetes.
- 9. Use of a peptide in accordance with claim 7 wherein the insulin-requiring diabetes is Type I diabetes.
- 10. A pharmaceutical composition for the treatment of insulin-requiring diabetes comprising an effective amount of a peptide comprising a peptide selected from the group consisting of
  - (a) glucagon-like peptide 1(7-37);
  - (b) glucagon-like peptide 1(7-36)amide; and
- (c) an effective fragment or analogue of (a) or (b) and a pharmaceutically acceptable carrier.
- 11. A pharmaceutical composition in accordance with claim 10 for the treatment of Type I diabetes.
- 12. The pharmaceutical composition of claim 10 or 11 further comprising an effective amount of insulin.
- 13. A method of treating Type I diabetes in a mammal comprising administering to the mammal an effective amount of a peptide comprising a peptide selected from the group consisting of
  - (a) glucagon-like peptide 1(7-37);





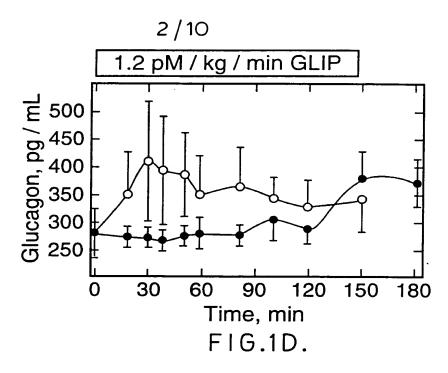
- (b) glucagon-like peptide 1(7-36)amide; and
- (c) an effective fragment or analogue of (a) or
   (b).
- 14. Use of a peptide comprising a peptide selected from the group consisting of
  - (a) glucagon-like peptide 1(7-37);
  - (b) glucagon-like peptide 1(7-36)amide; and
- (c) an effective fragment or analogue of (a) or (b) for the preparation of a medicament for use in the treatment of Type I diabetes.

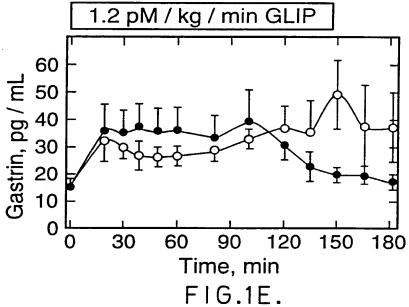




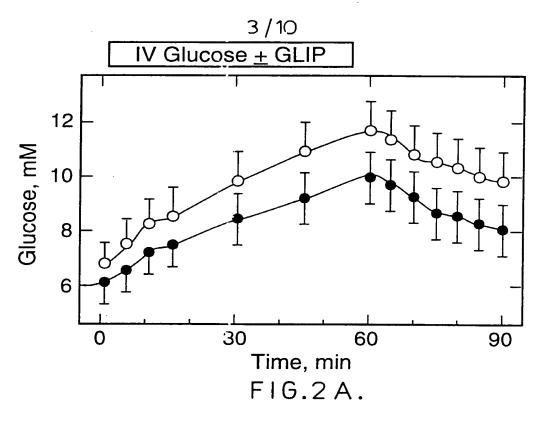
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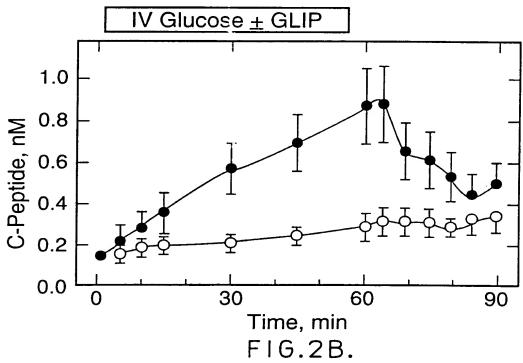






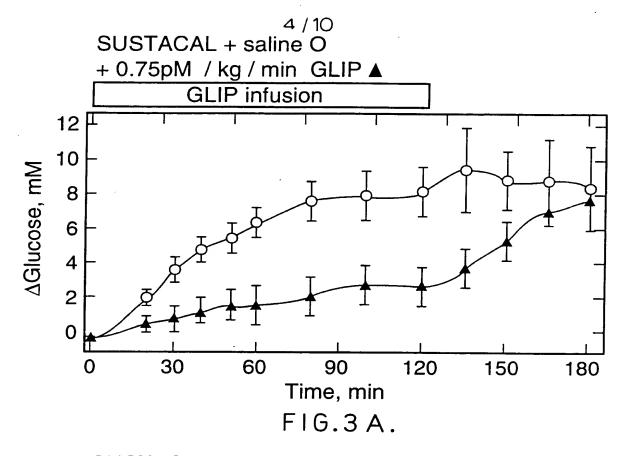


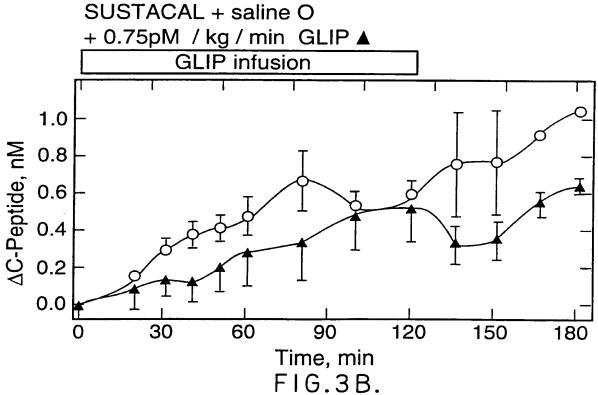




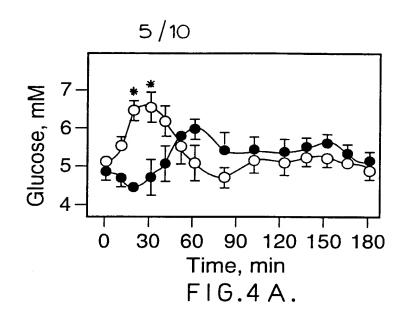


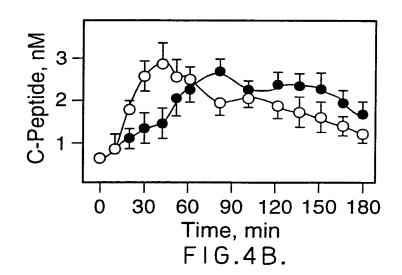




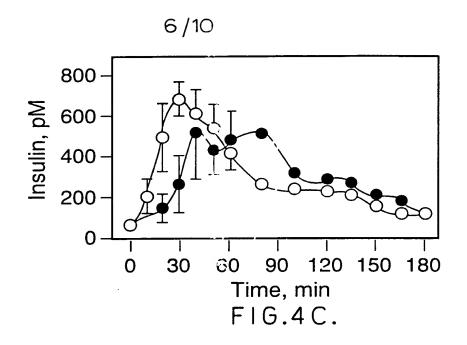


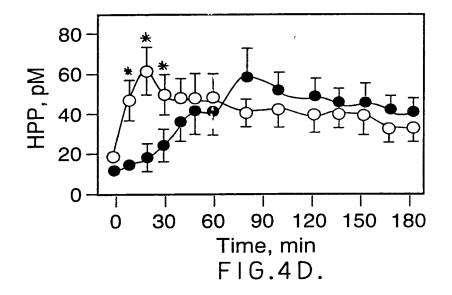






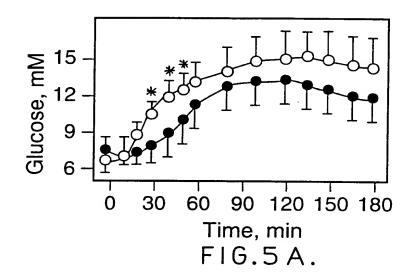


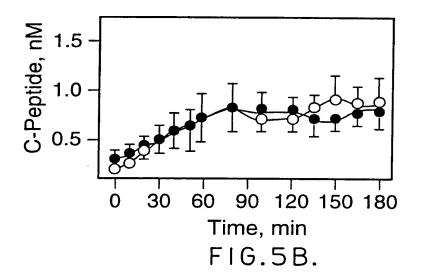






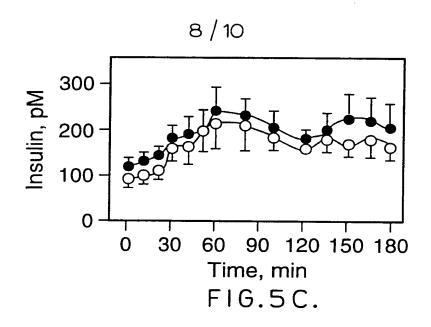
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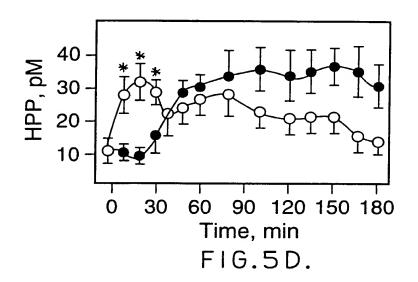




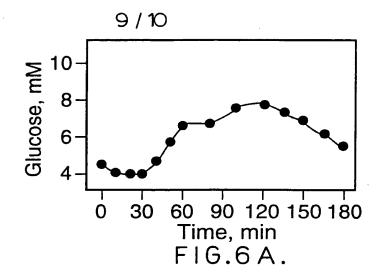


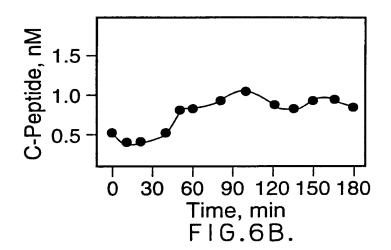


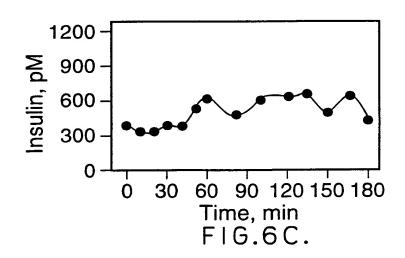




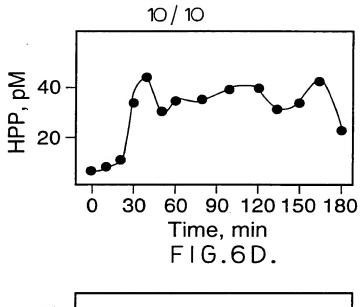


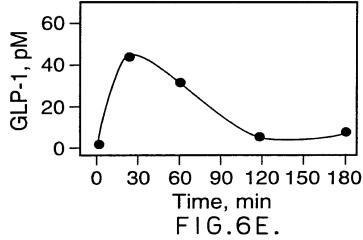


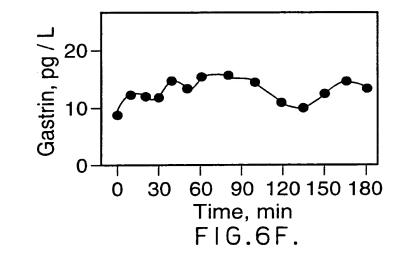


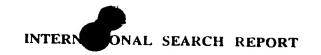












A. CLASSIFICATION OF SUBJECT MATTER IPC 6 A61K38/26 //(A61K38/26, 38:28)

According to International Patent Classification (IPC) or to both national classification and IPC

#### **B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols) IPC 6 A61K C07K

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

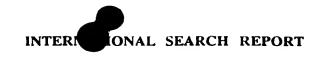
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO-A-91 11457 (BUCKLEY D. ET AL.) 8 August 1991 see the whole document	1,5,6
X	THE JOURNAL OF CLINICAL INVESTIGATION, vol. 93, no. 5, May 1994 pages 2263-2266, D'ALESIO D.A. ET AL. 'Glucagon-like Peptide 1 Enhances Glucose Tolerance Both by Stimulation of Insulin Release and by Increasing Insulin-independent Glucose Disposal' see the whole document	1-14

*Special categories of cited documents:  'A' document defining the general state of the art which is not considered to be of particular relevance  'E' earlier document but published on or after the international filing date  'L' document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)  'O' document referring to an oral disclosure, use, exhibition or other means  'P' document published prior to the international filing date but later than the priority date claimed	'T' later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention  'X' document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone  'Y' document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.  '&' document member of the same patent family
Date of the actual completion of the international search  24 July 1995	Date of mailing of the international search report  0 7 08 95
Name and mailing address of the ISA  European Patent Office, P.B. 5818 Patentlaan 2  NL - 2280 HV Rijswijk  Tel. (+ 31-70) 340-2040, Tx. 31 651 epo ni,  Fax: (+ 31-70) 340-3016	Authorized officer  Moreau, J

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Further documents are listed in the continuation of box C.

Patent family members are listed in annex.





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C.(Continu	ation) DOCUMENTS CONSIDERED TO BE RELEVANT		
ategory *	Citation of document, with indication, where appropriate, of the relevant passages		Relevant to claim No.
X	THE NEW ENGLAND JOURNAL OF MEDICINE, vol. 326, no. 20, 14 May 1992 BOSTON (US), pages 1316-1322, GUTNIAK M. ET AL. 'Antidiabetogenic effect of glucagon-like peptide-1 (7-36)amide in normal subjects and patients with diabetes mellitus' cited in the application see the whole document		1-14
	see the whole document WO-A-93 25579 (PFIZER INC.) 23 December 1993 see the whole document		1-14





PCT/CA95/00287

Box I	Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)
This int	ernational search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:
1. X 2.	Claims Nos.:  1-5, 13 because they relate to subject matter not required to be searched by this Authority, namely:  Remark: Although claims 1-5, 13 are directed to a method of treatment of the human/animal body, the search has been carried out and based on the alleged effects of the compound/composition.  Claims Nos.: because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
3.	Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).
Box II	Observations where unity of invention is lacking (Continuation of item 2 of first sheet)
This Inte	ernational Searching Authority found multiple inventions in this international application, as follows:
1.	As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
	As all searchable claims could be searches without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
	As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
	No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:
Remark o	The additional search fees were accompanied by the applicant's protest.  No protest accompanied the payment of additional search fees.

Patent document cited in search report	Publication date	Patent memb	family per(s)	Publication date
WO-A-9111457	08-08-91	EP-A-	0512042	11-11-92
WO-A-9325579	23-12-93	AU-B- CA-A- CN-A- EP-A- HU-A- JP-T- NO-A-	4027593 2138161 1085913 0646128 64367 7504679 944853	04-01-94 23-12-93 27-04-94 05-04-95 28-12-93 25-05-95 14-12-94



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